

O-Bank Sustainable Investing Principles

2021/5

Objective

O-Bank Co., Limited (the Bank) aims to embrace our enterprise spirit –“Fulfilling Oneself by Benefiting Others”, by introducing the concept of Sustainable Investment (the Concept). The Concept will integrate/incorporate environmental (E), social(S) and corporate governance (G) - ESG criteria into the Bank’s investment evaluation. The Concept not only pursuing our business growth and creating economic values, it also creates social value and brings positive influence to the society continuously

Guidelines

1. Avoidance to invest in deal with sanctioned countries and highly-risk industries/companies including porn industries and controversial weapon trading companies
2. Incorporate ESG factors especially Principles for Responsible Investment – PRI will be taken into investment strategies and decision evaluation processes.
3. The Bank would fulfill our social enterprise responsibilities. To reduce environmental or social risks caused by investment, we will consider not only specific environmental and social contributions of the target investment, ESG-related thematic will be taken into assessment.
4. The Bank’s investment strategy will be focused on targeted enterprises’ social responsibility performance, thus to provide long-term benefits to our investors/fund providers, the Bank will take full responsibility as an assets’ owner and administrator by signing [Stewardship Principles for Institutional Investors]

Execution

When the Bank execute investment, the followings will be implemented:

1. Review the ESG evaluation content of investment targets, including but not limited to greenhouse gas emission, energy efficiency, gender equality, employee training and operation of the board.
2. Commit to promote and implement sustainable investing, and support related

legislation and regulatory policies.

3. Before investing in industries/enterprises with potentially sensitive issues, detailed investigation and careful evaluation should be made. Only targets with no major adverse effect to sustainable development can be invested after evaluation.

Others

In order to implement the spirit of “Responsible Investment”, the Bank will continuously monitor issues related to ESG and sustainable finance, and also follow targeted company’s news, financial information, industry performance, business strategies, environmental protection practices, social responsibility, and corporate governance. The bank would proactively invest and support ESG related investment with good prospect.