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商品風險等級變更通知書
Notice of Change of Product Risk Category

Date: 29 March 2018

TO WHOM IT MAY CONCERN

茲因本商品所涉及之計價外幣匯率或利率或該國家政策法規等要素的變動，及／或市場的變化（諸如市場無交易或低交易量）、及／或特定市場政策法規的改變，可能會導致很難或無法進行交易或結算，經本行審慎評估後調整商品風險等級如下，此等變更可能會使客戶之損失超出原本預期。

Due to foreign currency and/or interest rate movements and/or relevant country rules and regulations changes, and/or changes in market condition (e.g. low or no market turnover) and/or changes in rules and regulations of certain markets leading to disruption of normal trading activities or settlement, product risk category of the financial instrument you entered into with O-Bank Co., Ltd. Hong Kong Branch with subject reference risk category has been changed as below, which may result in higher than expected loss.

商品名稱 Product Name	風險等級 (R1~R5) Product Risk Category (R1~R5)	生效日 Effective Date
匯率期權 - 買入普通期權 FX Options - Buy FX Options, e.g. buy plain vanilla options	R3	29 March 2018
匯率期權-買入普通期權以外型態之期權 FX Options - Buy FX Options, e.g. buy other than plain vanilla options	R4	29 March 2018
匯率期權-出售期權及累計期權 FX Options - Sell FX Options and accumulators	R5	29 March 2018

若有任何疑問，請盡速與我們聯絡。

Should you have any question, please contact us at below address.

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Attn: Treasury Division

O-Bank Co., Ltd. Hong Kong Branch

有權簽章
Authorized Signature